UNITED STATES DISTRICT COURT
DISTRICT OF NEW JERSEY
Civil Action No. 08-2924

In Regard to the Matter of:
Bayside State Prison
Litigation

JASON PHILLIPS,

-vs-

WILLIAM H. FAUVER, et al,

Defendants.

MONDAY JUNE 16, 2008

BEFORE THE HONORABLE JOHN W. BISSELL, SPECIAL MASTER

MASTROLANNI & FORMAROLI, INC.

Certified Court Reporting & Videoconferencing

251 South White Horse Pike

Audubon, New Jersey 08106

856-546-1100

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                    Transcript of proceedings in the above
     matter taken by Theresa O. Mastroianni, Certified
 6
     Court Reporter, license number 30X100085700, and
 8
     Notary Public of the State of New Jersey at the
 9
     United States District Court House, One Gerry Plaza,
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     Camden, New Jersey, 08102, commencing at 3:23 PM.
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- 1 JUDGE BISSELL: The Special Master
- 2 reopens proceedings in connection with the complaint
- 3 of Jason Phillips, Civil Number 08-2924.
- 4 This opinion/report is being issued
- 5 pursuant to the directives of the Order of Reference
- 6 to a Special Master and the Special Master's
- 7 Agreement and the guiding principles of law which
- 8 underlie this decision to be applied to the facts
- 9 upon which it is based as set forth in the jury
- 10 instructions in the Walker and Mejias jury charges to
- 11 the extent applicable to the allegations of Mr.
- 12 Phillips' case.
- Jason Phillips was housed in E Unit on
- 14 or about July 31st, 1997 at the beginning of the
- 15 Lockdown. As he explored in some depth in pages 13
- 16 through 16 of yesterday's transcript, he had a change
- 17 in status pending. In fact, proceedings were
- 18 scheduled for that week which would have given him a
- 19 change in status and appeared at least to go to the
- 20 farm unit. Needless to say, that was an important
- 21 event for him as it was to any prisoner to be allowed
- 22 to go into that full minimum setting.
- 23 F. Unit was evacuated for inspection on
- 24 or about that date. He allegedly was beaten in his
- 25 cell area before being taken to the gym. I do not

- l find that that evidence is credible, at least to the
- 2 extent that he would testify to it. It doesn't
- 3 figure, particularly in connection with a significant
- 4 extraction such as this and a number of prisoners
- 5 from this unit, some a hundred 25 of them. Other
- 6 than the fact that he was pressed up against the wall
- 7 to control him and that that may have led to some
- 8 discomfort or even a scratch, I don't find any
- 9 actionable conduct inflicted upon him upon the
- 10 extraction from his cell.
- 11 He arrived at the gym, was seated first
- 12 in the back of the gym near the Rottweiler, as I
- 13 recall it, but without any physical incidents at that
- 14 time. However, shortly thereafter he was then taken
- 15 to be seated in the inmate population on the floor of
- 16 the gym in a certain position with directions to be
- 17 quiet and not to move.
- 18 I find that on one, two and eventually
- 19 three occasions he raised his hand for the purpose of
- 20 drawing the attention of a SOG officer to indicate
- 21 that he had to go to the bathroom. This represents a
- 22 bit of a dilemma in connection with the
- 23 administration of the situation involved there in the
- 24 gym.
- On the one hand, it certainly is a

- l hardship for an individual prisoner to be refused
- 2 such an opportunity and accordingly have to urinate
- 3 in his clothing, a point which Mr. Phillips made
- 4 here.
- 5 On the other hand, one can well
- 6 understand that having to administer and control 125
- 7 inmates in that setting, perhaps for several hours
- 8 before they can be returned to their unit, presents a
- 9 problem for the SOG officers. Because all of a
- 10 sudden one bathroom call could become a hundred and
- ll that's really not easily manageable.
- 12 In any event, the SOG officers, it
- 13 seemed, got a bit upset with Mr. Phillips and decided
- 14 that they were going to discourage this type of
- 15 conduct, these types of inquiries. To put it mildly,
- 16 they didn't want hands going up all over the gym so
- 17 they took him to the back of the gym and at that
- 18 point did, indeed, strike him in order to enforce
- 19 their message that bathroom breaks or individual
- 20 conversations or efforts here, there and everywhere
- 21 to gain the attention of the SOG officers were not
- 22 going to be tolerated.
- 23 It may well have included a verbal
- 24 exchange of some sort between him and the officers
- 25 including Officer Maldonado who apparently was the

- 1 one most upset and most inconvenienced by Mr.
- 2 Phillips' conduct on the gym floor.
- 3 In the course of his being struck in
- 4 the back of the gym at that point, because he was
- 5 handcuffed on his knees and not in a position really
- 6 to resist pressures exerted against him, he was
- 7 indeed pushed into Mr. Maldonado, in an endeavor to
- 8 break his fall with his elbow, hit Maldonado in the
- 9 leg with his elbow generating for Mr. Maldonado an
- 10 opportunity of which he took full advantage to charge
- 1) him with assault.
- 12 Sergeant Frontado, frankly, I find
- 13 didn't really observe much of what was going on at
- 14 all. Perhaps as the person in charge, he wouldn't
- 15 necessarily be focusing on one inmate. But he backed
- 16 up Maldonado as did the other officer in question and
- 17 we therefore have the reports 332, 333 and 334 which
- 18 support a different story that I find not to be
- 19 credible.
- 20 The fact that Mr. Phillips, eligible
- 21 for a change of status, albeit one which would be
- 22 delayed as a result of his discussions with Mr.
- 23 Ellis, would consciously undertake disruptive
- 24 conduct, including intentionally throwing an elbow at
- 25 the chest of a corrections officer, is not credible.

- And the corrections officers decided that here was a
- 2 man who wasn't one hundred fifty percent compliant
- 3 albeit for circumstances not really within Mr.
- 4 Phillips' control, he had to go to the bathroom, and
- 5 that this was going to lead to a disciplinary charge.
- 6 The charge was in the process of being drawn up, he
- 7 was taken to B Unit for detention at that time before
- 8 being brought to court line.
- 9 He talks of added assaults upon his
- 10 arrival in B Unit. I do not find those have been
- 11 adequately substantiated, particularly in light of
- 12 the fact that I believe the evidence that he was
- 13 handed off to housing officers in B Unit rather than
- 14 escorted all the way to his cell by SOG.
- 15 However, when later taken to court
- 16 line, I certainly do find it credible that the
- 17 escorting officers, learning of his plea of not
- 18 guilty and his decision to contest the charge against
- 19 him coerced him into not doing so. They were in
- 20 complete control and, frankly, threatened him with a
- 21 lot more trouble than he would want to deal with if
- 22 he persisted in a plea of not guilty. Because, of
- 23 course, the SOGs did not want to risk a finding by
- 24 the hearing officer that the charges were false or
- 25 unsubstantiated.

- Mr. Phillips, obviously knowing what
- 2 was in store for him on contesting the charge and
- 3 perhaps also without any true sanguine belief that he
- 4 could beat it, agreed to plead guilty.
- 5 Of course, the result of that was that
- 6 his opportunity for a change of status evaporated and
- 7 he received a considerable amount of ad-seg and loss
- 8 of credit time in connection with his eventual
- 9 release.
- 10 I find this conduct on behalf of the
- 11 SOG officers, their conduct in the gymnasium, their
- 12 coercive conduct on the way to taking him to court
- 13 line and its ultimate results constitutes the use of
- 14 excessive force and a violation of Mr. Phillips'
- 15 rights to be free from cruel and unusual punishment
- 16 also as fully defined in the jury instructions which
- 17 we've incorporated by reference.
- 18 I find that Mr. Phillips did not
- 19 sustain any serious, permanent injuries as a result
- 20 of these events. His discussion and attribution of
- 21 the aggravation of an ankle condition ${\mathbb F}$ did not find
- 22 sufficiently substantiated by his lay testimony
- 23 alone. There was, however, an additional assault not
- 24 of a considerable nature, which at least can be
- 25 considered cumulatively in this matter because upon

- 1 his return from court line he was assaulted and then
- 2 further subjected to the indignity of having orange
- 3 juice poured over his head and labeled OJ, as I
- 4 recall.
- 5 There was, indeed, excessive,
- 6 unnecessary and sadistic force imposed upon Mr.
- 7 Phillips under the instances which I found here
- 8 within the comtemplation of those legal principles.
- 9 However, once again, in light of the fact that the
- 10 physical assaults on Mr. Phillips were not prolonged
- 11 or repeated, while actionable for recovery of
- 12 compensatory damages, I do not find that they rise to
- 13 the level of being so egregious as to support a claim
- 14 for punitive damages.
- 15 Finally, although not every item of
- 16 evidence has been discussed in this opinion/report,
- 17 all evidence presented to the Special Master was
- 18 reviewed and considered. I find, therefore, that the
- 19 injury inflicted upon Mr. Phillips as more
- 20 specifically described here was acute initially, had
- 21 some duration but not of a substantial permanent
- 22 nature and didn't result in any significant,
- 23 continuing pain or restriction upon his activities.
- 24 However, they did inflict some real
- 25 impact upon his custody status leading him to a

Page 11 1 lengthy period of ad-seg at Northern State Prison, a very different set of circumstances than those which would otherwise have ensued in his conversion of 3 status to full minimum. I think this can be taken into account in connection with a measure of ر: 6 compensatory damages. 7 Furthermore, as I've said, the 8 humiliation of the orange juice incident, particularly when it's accompanied by physical 10 injuries that are more than deminimis, may also be considered in the measurement of damages, in this 11 12 case of a more emotional variety. 13 Accordingly, I recommend in this report that the district court enter an award of 14 15 compensatory damages in the amount of eight thousand 16 dollars in favor of Mr. Phillips. 17 Let's go off the record. 18 (Hearing concluded) 19 20 21 22 23 24 25

Page 12 1 CERTIFICATE 2 3 I, Theresa O. Mastroianni, a Notary Public and Certified Shorthand Reporter of the State of New Jersey, do hereby certify that the foregoing is a 5 true and accurate transcript of the testimony as 6 7 taken stenographically by and before me at the time, 8 place, and on the date hereinbefore set forth. 9 I DO FURTHER CERTIFY that I am neither a 10 relative nor employee nor attorney nor counsel of any of the parties to this action, and that I am neither 11 1.2 a relative nor employee of such attorney or counsel, 1.3 and that I am not financially interested in the action. 14 15 1.6 17 18 2.0. Mastroianne 19 Theresa O. Mastroianni, C.S.R. Notary Public, State of New Jersey 20 My Commission Expires May 5, 2010 Certificate No. X10857 21 Date: June 17, 2008 22 23 24 25

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